



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): James J. Fallon

SERIAL NO.: 10/628,795

FILED: July 28, 2003

Docket No. 8011-2 CON

DATED: July 11, 2006

FOR: **System and Methods For Accelerated Data Storage and Retrieval**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop - RCE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

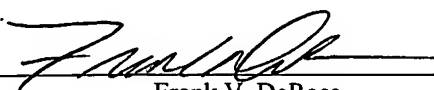
Pursuant to Applicant(s) duty of disclosure, the information listed in the attached PTO-1449 forms is brought to the attention of the Examiner.

Applicant does not represent that the references listed in the attached PTO-1449 forms constitutes a complete or exhaustive listing of the relevant art or that the references are prior art. The listed references are being submitted in good faith to disclose references that have been cited in commonly owned applications that are related to the current application or which otherwise include subject matter that is similar to the current application.

CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450, mail stop - RCE, on the date indicated below

July 11, 2006



Frank V. DeRosa

The U.S. Patent Documents listed as Ref. #s 1-36 were cited in U.S. Application Serial No. 09/210,491 (now U.S. Patent No. 6,195,024), entitled "*Content Independent Data Compression Method and System*", which is currently commonly assigned with respect to, and the parent application of, the present application.

The U.S. Patent Documents listed as Ref. #s 1-38 were cited in U.S. Application Serial No. 09/705,446 (now U.S. Patent No. 6,309,424), entitled "*Content Independent Data Compression Method and System*" which is currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 1-42 were cited in U.S. Application Serial No. 10/016,355, entitled "*Content Independent Data Compression Method and System*", which is currently commonly assigned with respect to the present application.

The Foreign Patent Documents 180~184 and Publication Document H were cited in an International Search Report for International Application Number PCT/US00/42018 entitled "*Content Independent Data Compression Method and System*", which is related to U.S. Application Serial No. 09/210,491 (U.S. Patent No. 6,195,024) and U.S. Application Serial No. 09/705,446 (U.S. Patent No. 6,309,424), all of which are currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 43-106 were cited in related U.S. Application Serial No. 09/266,394, entitled "*System and Methods For Accelerated Data Storage and Retrieval*" (now U.S. Patent 6,601,104), which is currently commonly assigned with respect to the present application (Serial No. 10/628,795).

The U.S. Patent Documents listed as Ref. #s 72, 86, 90, and 104 were previously cited in an Office Action in the present application (Serial No. 10/628,795). Moreover, the documents listed as Ref. #s 108-113 and 120 and Publication Documents A, B and C were previously cited and considered in several Information Disclosure Statements filed on January 9, 2006 and September 14, 2005 during prosecution of the present application (Serial No. 10/628,795).

The U.S. Patent Documents listed as Ref. #s 115-118 were cited in U.S. Patent Application Serial No. 09/579,221 entitled "*System and Method for Lossless Data Compression and Decompression*" (now U.S. Patent No. 6,597,812), which is currently commonly assigned with respect to the present application..

The U.S. Patent Documents listed as Ref. #s 43~71, 73~85, 87~89, 91~103, 105~106, 119, and 121~128 were cited in related U.S. Patent Application Serial No. 09/481,243 (now U.S. Patent No. 6,604,158), entitled "*System and Methods for Accelerated Data Storage and Retrieval*", which is a Continuation-in-Part of Application Serial No. 09/266,394, and which is currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 107~112 and 120 and Foreign Document Ref. # 184 were cited in an Office Action in related U.S. Application Serial No. 10/628,801 (now abandoned) entitled "*System and Methods for Accelerated Data Storage and Retrieval*", which is a Continuation of U.S. Patent Application No. 09/481,243 (U.S. Patent No. 6,604,158) which is currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 129-145 and 159, 160, 162 and 192-204 and Publication Documents D and F were cited in Office Actions in U.S. Patent Application Serial No. 09/969,987 entitled "*System and Method for Data Feed Acceleration and Encryption*", which is currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 146~150 and Foreign Documents 175~177 were cited in U.S. Patent Application Serial No. 09/775,905 entitled "*Data Storewidth Accelerator*" (now U.S. Patent No. 6,748,457) which is currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 151, 152 and 161 were cited in an Office Action in U.S. Patent Application Serial No. 10/306,581 entitled "*Data Storewidth Accelerator*", which is a Continuation in Part of the parent application of U.S. Patent No. 6,748,457, and which is currently commonly assigned with respect to the present application.

The U.S. Patent Documents 146, 147, 150, 153 and Foreign Documents 175~177 were cited in an International Search Report for International Application Number PCT/US01/03711 entitled "*Data Store Bandwidth Accelerator*", which is related to U.S. Patent Application Serial No. 09/775,905 (U.S. Patent No. 6,748,457) entitled "*Data Storewidth Accelerator*" and U.S. Patent Application Serial 09/776,267 entitled "*System and Methods for Accelerated Loading of Operating Systems and Application Programs*", all of which are currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 154~158 and 171~174, Foreign Document Ref. # 178 and Publication Document "E" were cited in an Office Action in U.S. Patent Application Serial 09/776,267 entitled "*System and Methods for Accelerated Loading of Operating Systems and Application Programs*", which is currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 163~170 and 191 and Foreign Document Ref. # 179 were cited in an Office Action in U.S. Patent Application Serial 10/076,013 entitled "*Bandwidth Sensitive Data Compression and Decompression*", which is currently commonly assigned with respect to the present application.

The U.S. Patent Documents listed as Ref. #s 185-190 were cited in U.S. Application Serial No. 10/668,768, entitled "*Content Independent Data Compression Method and System*", which is currently commonly assigned with respect to the present application.

The Publication Documents A, B, C (already cited and considered in the present application) and G were obtained by, or on behalf of, applicant and were/are being cited in good faith.

The item listed is submitted in good faith, but is not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of this particular item, it will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- ☐ This information disclosure statement is being filed within three (3) months of the filing date of this application.
- ☒ This information disclosure statement is being filed concurrently with the Request for Continued Examination (RCE) attached herewith
- ☐ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- ☐ To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- ☐ Statement under 37 C.F.R. § 1.97(e):
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

- ☐ Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(ii).
- ☐ Enclosed by check is the petition fee of \$130.00. 37 C.F.R. §1.17(i)(1))
- ☐ Please charge the \$130.00 petition fee to Deposit Account No. 50-0679.
- ☐ Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
- ☐ Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to a Credit Card. Form PTO-2038 is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 50-0679. Also, in the event any extensions of time for responding and/or a petition under 37 C.F.R. §1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. 50-0679 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



Frank V. DeRosa

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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 8011-2CON

SERIAL NO.

10/628,795

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

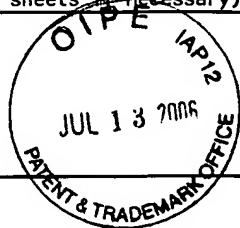
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APPLICANT Fallon

FILING DATE July 28, 2003

GROUP

Art Unit 2155



U.S. PATENT DOCUMENT

EXAMINER INITIALS	Ref.#	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5	1	7	5	5	4	3	12/1992	Lantz			
	2.	5	4	1	2	3	8	4	5/1995	Chang et al.			
	3.	4	8	7	2	0	0	9	10/3/89	Tsukiyama et al.			
	4.	4	9	2	9	9	4	6	5/29/90	O'Brien et al.			
	5.	5	0	4	5	8	5	2	9/3/91	Mitchell et al.			
	6.	5	0	9	7	2	6	1	3/17/92	Langdon, Jr. et al.			
	7.	5	2	1	2	7	4	2	5/18/93	Normile et al.			
	8.	5	2	3	1	4	9	2	7/27/93	Dangi et al.			
	9.	5	2	4	3	3	4	8	9/7/93	Jackson			
	10.	5	2	7	0	8	3	2	12/14/93	Balkanski et al.			
	11.	5	3	7	9	0	3	6	1/3/95	Storer			
	12.	5	3	8	1	1	4	5	1/10/95	Allen et al.			
	13.	5	3	9	4	5	3	4	2/29/95	Kulakowski et al.			
	14.	5	4	6	1	6	7	9	10/24/95	Normile et al.			
	15.	5	4	7	9	5	8	7	12/26/95	Campbell et al.			
	16.	5	4	8	6	8	2	6	1/23/96	Remillard			
	17.	5	4	9	5	2	4	4	2/27/96	Je-chang et al.			
	18.	5	5	3	3	0	5	1	7/2/96	James			
	19.	5	5	8	3	5	0	0	12/10/96	Allen et al.			
	20.	5	6	5	4	7	0	3	8/5/97	Clark, II			

EXAMINER

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 8011-2CON	SERIAL NO. 10/628,795
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(Use several sheets if necessary)			
		APPLICANT Fallon	
		FILING DATE July 28, 2003	GROUP Art Unit 2155

U.S. PATENT DOCUMENT

EXAMINER INITIALS	Ref.#	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	21.	5	6	6	8	7	3	7	9/16/97	Iler			
	22.	5	7	1	7	3	9	4	2/10/98	Schwartz et al.			
	23.	5	7	2	9	2	2	8	3/17/98	Franaszek et al.			
	24.	5	7	4	8	9	0	4	5/5/98	Huang et al.			
	25.	5	7	7	1	3	4	0	6/23/98	Nakazato et al.			
	26.	5	7	8	4	5	7	2	7/21/98	Rostoker et al.			
	27.	5	8	0	5	9	3	2	9/8/98	Kawashima et al.			
	28.	5	8	1	8	3	6	8	10/6/98	Langley			
	29.	5	8	1	8	5	3	0	10/6/98	Canfield et al.			
	30.	5	8	2	5	4	2	4	10/20/98	Canfield et al.			
	31.	5	8	4	7	7	6	2	12/8/98	Canfield et al.			
	32.	5	8	6	1	8	2	4	1/19/99	Ryu et al.			
	33.	5	9	1	7	4	3	8	6/29/99	Ando			
	34.	5	9	6	4	8	4	2	10/12/99	Packard			
	35.	5	9	9	1	5	1	5	11/23/99	Fall et al.			
	36.	6	0	3	1	9	3	9	2/29/00	Gilbert et al.			
	37.	5	8	3	9	1	0	0	11/1998	Wegener			
	38.	6	1	4	1	0	5	3	10/2000	Saukkonen			
	39.	4	6	8	2	1	5	0	7/1987	Mathes et al.			
	40.	5	2	3	7	6	7	5	8/1993	Hannon, Jr.			
	41.	5	5	5	7	7	4	9	9/1996	Norris			
	42.	6	5	2	9	6	3	3	3/2003	Easwar et al.			

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	43.	4	7	5	4	3	5	1		3/1986	Dang et al.			
	44.	4	8	0	4	9	5	9		2/2989	Makansi et al.			
	45.	4	8	7	0	4	1	5		9/1989	Van Maren et al.			
	46.	4	9	6	5	6	7	5		10/1990	Hori et al.			
	47.	5	0	2	8	9	2	2		7/1991	Huang			
	48.	5	0	4	6	0	2	7		9/1991	Taaffe et al.			
	49.	5	1	5	0	4	3	0		9/1992	Chu			
	50.	5	1	5	9	3	3	6		10/1992	Rabin et al.			
	51.	5	1	7	9	6	5	1		1/1993	Taaffe et al.			
	52.	5	2	3	7	4	6	0		8/1993	Miller et al.			
	53.	5	2	3	7	6	7	5		8/1993	Hannon, Jr.			
	54.	5	2	4	7	6	3	8		9/1993	O'Brien et al.			
	55.	5	2	4	7	6	4	6		9/1993	Osterlund et al.			
	56.	5	2	8	7	4	2	0		2/1994	Barrett			
	57.	5	3	5	7	6	1	4		10/1994	Pattisam et al.			
	58.	5	4	1	4	8	5	0		5/1995	Whiting			
	59.	5	5	0	6	8	7	2		4/1996	Mohler			
	60.	5	5	3	5	3	5	6		7/1996	Kim et al.			

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(Form PTO-1449 [6-4])

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Art Unit 2155

U.S. PATENT DOCUMENT

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	61.	5	5	3	7	6	5	8	7/1996	Bakke et al.			
	62.	5	5	6	1	8	2	4	10/1996	Carreiro et al.			
	63.	5	5	7	4	9	5	2	11/1996	Brady et al.			
	64.	5	5	7	4	9	5	3	11/1996	Rust et al.			
	65.	5	5	9	0	3	0	6	12/1996	Watanabe et al.			
	66.	5	6	0	6	7	0	6	2/1997	Takamoto et al.			
	67.	5	6	1	5	0	1	7	3/1997	Choi et al.			
	68.	5	6	2	1	8	2	0	4/1997	Rynderman et al.			
	69.	5	6	2	3	6	2	3	4/1997	Kim et al.			
	70.	5	6	2	3	7	0	1	4/1997	Bakke et al.			
	71.	5	6	2	7	9	9	5	5/1997	Miller et al.			
	72.	5	6	2	9	7	3	2	5/1997	Moskowitz et al.			
	73.	5	6	3	0	0	9	2	5/1997	Carreiro et al.			
	74.	5	6	5	2	8	5	7	7/1997	Shimoi et al.			
	75.	5	6	5	2	9	1	7	7/1997	Maupin et al.			
	76.	5	6	5	5	1	3	8	8/1997	Kikinis			
	77.	5	6	6	6	5	6	0	9/1997	Moertl et al.			
	78.	5	6	7	1	3	8	9	9/1997	Saliba			
	79.	5	6	9	4	6	1	9	12/1997	Konno			
	80.	5	6	9	6	9	2	7	12/1997	MacDonald et al.			

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	81.	5	7	1	5	4	7	7	2/1998	Xikinis			
	82.	5	7	2	1	9	5	8	2/1998	Xikinis			
	83.	5	7	2	4	4	7	5	3/1998	Kirsten			
	84.	5	7	7	8	4	1	1	7/1998	DeMoss et al.			
	85.	5	7	8	7	4	8	7	7/1998	Hashimoto et al.			
	86.	5	8	0	8	6	6	0	9/1998	Sekine et al.			
	87.	5	8	0	9	3	3	7	9/1998	Hannah et al.			
	88.	5	8	1	2	7	8	9	9/1998	Diaz			
	89.	5	8	3	8	9	9	6	11/1998	deCarmo			
	90.	5	8	4	1	9	7	9	11/1998	Schulhof et al.			
	91.	5	8	4	7	7	6	2	12/1998	Canfield et al.			
	92.	5	8	7	0	0	8	7	2/1999	Chau			
	93.	5	8	8	9	9	6	1	3/1999	Dobbek			
	94.	5	9	1	5	0	7	9	6/1999	Vondran, Jr. et al.			
	95.	5	9	3	6	6	1	6	8/1999	Torborg, Jr. et al.			
	96.	5	9	6	0	4	6	5	9/1999	Adams			
	97.	5	9	6	8	1	4	9	10/1999	Jaquette et al.			
	98.	5	9	7	4	4	7	1	10/1999	Belt			
	99.	5	9	9	6	0	3	3	11/1999	Chiu-Hao			
	100.	6	0	0	0	0	0	9	12/1999	Brady			

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	101.	6	0	0	2	4	1	1	12/1999	Dye			
	102.	6	0	1	1	9	0	1	1/2000	Kirsten			
	103.	6	0	1	4	6	9	4	1/2000	Aharoni et al.			
	104.	6	0	2	6	2	1	7	2/2000	Adiletta			
	105.	6	0	2	8	7	2	5	2/2000	Blumenau			
	106.	6	0	3	2	1	4	8	2/2000	Wilkes			
	107.	4	5	9	3	3	2	4	06/1986	Ohkubo et al.			
	108.	5	6	0	4	8	2	4	02/1997	Chui et al.			
	109.	5	6	1	2	7	8	8	03/1997	Stone			
	110.	5	8	1	9	2	1	5	10/1998	Dobson et al.			
	111.	5	8	3	2	1	2	6	11/1998	Tanaka, Kimiyuki			
	112.	6	5	9	0	6	0	9	07/2003	Kitade et al.			
	113.	6	4	3	4	1	6	8	08/2002	Kari			
	114.	6	2	5	3	2	6	4	06/2001	Sebastian			
	115.	5	8	7	0	0	3	6	2/1999	Franaszek et al.			
	116.	5	8	8	3	9	7	5	3/1999	Narita et al.			
	117.	5	9	5	5	9	7	6	9/1999	Heath			
	118.	6	4	8	9	9	0	2	12/2002	Heath			
	119.	4	5	7	4	3	5	1	3/1986	Dang et al.			
	120.								U.S. Patent Publication No. US 2003/0142874 07/2003 Schwartz, Edward L.				

EXAMINER

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	121.	4	7	3	0	3	4	8	3/1998	MacCriskin			
	122.	4	9	0	6	9	9	5	3/1990	Swanson			
	123.	5	0	4	9	8	8	1	9/1991	Gibson et al.			
	124.	5	4	0	6	2	7	8	4/1995	Graybill et al.			
	125.	5	8	3	2	0	3	7	11/1998	Park			
	126.	5	8	3	2	1	2	6	11/1998	Tanaka			
	127.	5	9	7	3	6	3	0	10/1999	Heath			
	128.	6	3	1	7	7	1	4	11/2001	Del Castillo et al.			
	129.	6	6	0	6	4	1	3	8/2003	Zeineh, Jack A.			
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	131.	6	1	2	8	4	1	2	10/2000	Satoh, Noriko			
	132.	6	0	9	4	6	3	4	7/2000	Yahagi et al.			
	133.	6	0	7	5	4	7	0	6/2000	Little et al.			
	134.	6	0	6	1	3	9	8	5/2000	Satoh et al.			
	135.	5	8	8	6	6	5	5	3/1999	Rust, Robert A.			
	136.	5	8	7	2	5	3	0	2/1999	Domyo et al.			
	137.	5	7	5	7	8	5	2	05/1998	Kericevic et al.			
	138.	5	7	0	3	7	9	3	12/1997	Wise et al.			
	139.	5	6	4	9	0	3	2	7/1997	Burt et al.			
	140.	5	4	0	6	2	7	9	4/1995	Anderson et al.			
	141.	5	2	0	4	7	5	6	4/1993	Chevion et al.			
	142.	4	8	7	6	5	4	1	10/1989	Storer, James A.			
	143.	4	3	0	2	7	7	5	11/1981	Widergren et al.			
EXAMINER										DATE CONSIDERED			

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		FILING DATE July 28, 2003	GROUP Art Unit 2155

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EXAMINER INITIALS	Ref.#	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	144.	5 5 0 6 8 4 4	4/1996	Rao, R. Padmanabha			
	145.	5 3 0 9 5 5 5	5/1994	Akins et al.			
	146.	5 1 8 7 7 9 3	2/1993	Keith et al.			
	147.	5 2 2 6 1 7 6	7/1993	Westaway et al.			
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	171.	5 3 0 7 4 9 7	04-1994	Feigenbaum, et al			
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														YES	NO
	175	DE	4	1	2	7	5	1	8	2/1992	German				
	176	EP	0	7	1	8	7	5	1	A2 6/1996	Europe				
	177	EP	0	7	1	8	7	5	1	A3 2/1997	Europe				
	178	JP	6	0	5	1	9	8	9	2/1994	Japan			YES	
	179	EP	0	5	8	7	4	3	7	3/1994	Europe				
	180	EP	0	4	0	5	5	7	2	A2 1/1991	Europe				
	181	EP	0	4	0	5	5	7	2	A3 3/1991	Europe				
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	183	EP	0	4	9	3	1	3	0	7/1992	Europe				
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U.S. PATENT DOCUMENT

EXAMINER INITIALS	Ref.#	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	B	Pen-Shu Yeh, <u>The CCSDS Lossless Data Compression Recommendation for Space Applications</u> , Chapter 16, Lossless Compression Handbook, Elsevier Science (USA), 2003, pp. 311-326.
	C	Robert F. Rice, <u>Some Practical Universal Noiseless Coding Techniques</u> , Jet Propulsion Laboratory, Pasadena, California, JPL Publication 79-22, March 15, 1979.
	D	K. Murashita et al., High-Speed Statistical Compression using Self-organized Rules and Predetermined Code Tables, IEEE, 1996 Data Compression conference
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